Strength & Conditioning
Professional Standards & Guidelines

NSCA Professional Standards & Guidelines Task Force
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Preface

The Strength & Conditioning profession is at a crossroads. It involves the combined competencies of sport/exercise science, administration, management, teaching and coaching. Its practitioners must also comply with various laws and regulations while responding to instances of potential injury, and related claims and suits. This creates remarkable challenges, and requires substantial experience, expertise and other resources to effectively address them — especially in multi-sport (e.g., collegiate and scholastic) settings.

Ample resources are available in some of these settings. In many others, however, they are not. Budgets, equipment, facilities and staff are often limited (or lacking altogether), with a resulting mismatch between the athletes’ demand for safe and effective programs and services, and the institution’s provision of them. It is important for Strength & Conditioning practitioners and their employers to understand that this standard of care is a shared duty; the institution and individual are thus jointly responsible for fulfilling it. Collectively, these issues are the driving forces behind this project.

The purpose of the NSCA Strength & Conditioning Professional Standards & Guidelines project is to help identify areas of liability exposure, increase safety and decrease the likelihood of injuries that might lead to legal claims and suits, and ultimately improve the standard of care being offered. This document is intended to be neither rigid nor static. On the contrary, the need for discretion and insight is a fundamental theme throughout; and the information presented here will be revised periodically as the profession continues to evolve. It is hoped that Strength & Conditioning practitioners and the institutions employing them will mutually benefit from applying this information, and in turn significantly enhance the quality of services and programs provided to their athletes.

Notice

This document is intended to provide relevant practice parameters for Strength & Conditioning professionals to utilize when carrying out their responsibilities in providing services to athletes or other participants. The standards and guidelines presented here are based on published scientific studies, pertinent statements from other associations, analysis of claims and litigation, and a consensus of expert views. However, this information is not a substitute for individualized judgment or independent professional advice.

Neither the NSCA nor the contributors to this project assume any duty owed to third parties by those reading, interpreting or implementing this information. When rendering services to third parties, these standards and guidelines cannot be adopted for use with all participants without exercising independent judgment and decision-making based on the Strength & Conditioning professional’s individual training, education and experience. Furthermore, Strength & Conditioning practitioners must stay abreast of new developments in the profession so that these standards and guidelines may evolve to meet particular service needs.

Neither the NSCA nor the contributors to this project, by reason of authorship or publication of this document, shall be deemed to be engaged in practice of any branch of professional discipline (e.g., medicine, physical therapy, law) reserved for those licensed under state law. Strength & Conditioning practitioners utilizing this information are encouraged to seek and obtain such advice, if needed or desired, from those licensed professionals.
INTRODUCTION

Scope Of Practice

The legal responsibilities and professional scope of practice for Strength & Conditioning professionals can be subdivided into two domains: "Scientific Foundations" and "Practical/Applied". Each of these involves corresponding activities, responsibilities and knowledge requirements (refer to Appendices A & B):

Scientific Foundations

- Exercise Sciences (Anatomy, Exercise Physiology, Biomechanics)
- Nutrition

Practical/Applied

- Program Design
- Exercise Technique
- Organization & Administration
- Testing & Evaluation

Legal Duties & Concepts

Strength & Conditioning practitioners have legal duties to provide an appropriate level of supervision and instruction in order to meet a reasonable standard of care, and to provide and maintain a safe environment for the athletes under their care. These duties also involve informing users of risks inherent in and related to their activities, and preventing unreasonable risk or harm resulting from "negligent instruction or supervision".

Greenwood & Greenwood (Chapter 26 [pp. 587-601] of Essentials Of Strength Training & Conditioning) summarize the following key liability concepts for the Strength & Conditioning professional:

- **Assumption of risk**: voluntarily participation in activity with knowledge of the inherent risk(s). Athletic activities, including Strength & Conditioning, involve certain risks. Participants must be thoroughly informed of the risks of activity, and required to sign a statement to that effect.

- **Liability**: a legal responsibility, duty or obligation. Strength & Conditioning professionals have a duty to the athletes they serve to take reasonable steps to prevent injury, and to act prudently when an injury occurs.

- **Negligence**: failure to act as a reasonable and prudent person would under similar circumstances. Four elements must exist for a Strength & Conditioning professional to be
found liable for negligence:\textsuperscript{64} duty, breach of duty, proximate cause, and damages. Simply stated, a Strength & Conditioning professional is negligent if he/she is proven to have a duty to act, and to have failed to act with the appropriate standard of care, proximately causing injury or damages to another person.

- **Standard of care**: what a prudent and reasonable person would do under similar circumstances. A Strength & Conditioning professional is expected to act according to his/her education, training and certification status (e.g., CSCS, NSCA-CPT, CPR, First Aid).

**Standards vs. Guidelines.** It is important to distinguish between “standards” and “guidelines” because each term has different legal implications:\textsuperscript{20,83}

- **Standard**: a required procedure that probably reflects a legal duty or obligation for standard of care (note that the standard statements in this document utilize the word “must”). The standards set forth in this document may ultimately be recognized as a legal standard of care to be implemented into the daily operations of Strength & Conditioning programs and facilities.

- **Guideline**: a recommended operating procedure formulated and developed to further enhance the quality of services provided (note that the guideline statements in this document utilize the word “should”). Guidelines are not intended to be standards of practice, or to give rise to legally defined duties of care; but in certain circumstances they could assist in evaluating and improving services rendered.

While the publication of this document does not amount to a judicial determination of the standard of care to be applied in a particular case, it is presumed that the standards stated herein will probably be given authoritative weight in actual litigations.

**Published Standards Of Practice = Potential Legal Duties.** Proof of duty or standard of care in a negligence case can be determined in various ways, one of which is from standards of practice published by professional associations and organizations. In actual litigation, published standards of practice can be introduced via expert testimony to help determine whether a defendant was negligent in carrying out his/her legal duties.\textsuperscript{20} The current trend in most jurisdictions is to allow such standards as admissible evidence, where they are generally recognized as being indicative of widely accepted practices. Furthermore, courts examining these issues in negligence cases have ruled that violations of such professional standards constitute a breach of duty.

If properly adopted and applied, published standards of practice can minimize liability exposures associated with negligence, and thereby serve as a shield for those who comply with them. They can also be used as a sword against those who do not comply with them, potentially increasing liability risks associated with negligence.\textsuperscript{20} The key issue in this regard appears to be the practitioner’s consistent application of established standards of practice in the provision of daily service. For example, if his/her conduct is proven to be consistent with accepted standard(s), it will be difficult to show breach of duty, thereby providing protection against negligence. If his/her conduct is not proven to be consistent with accepted standard(s), however,
it may be easier for the injured party to show breach of duty due to failure to follow such standards, which can lead to a ruling of negligence.

**Types Of Standards**

In addition to standards for desired operational practices published by professional organizations such as the NSCA, there are also standards for technical/physical specifications published by independent organizations such as the AMERICAN SOCIETY FOR TESTING & MATERIALS (ASTM) or U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC). These are briefly described below:

**Operational Practices.** In a negligence lawsuit, established standards of care can be used to gauge a practitioner’s professional competence by comparing his/her actual conduct with written benchmarks of expected behavior. In addition to the standards and guidelines from allied professional organizations such as the AMERICAN COLLEGE OF SPORTS MEDICINE, AMERICAN HEART ASSOCIATION and NATIONAL ATHLETIC TRAINERS’ ASSOCIATION referenced in this document, the following associations have also published standards of practice:


**Technical/Physical Specifications.** Technical/physical specifications relevant to the Strength & Conditioning profession have been published by the CPSC (e.g., “Prevent Injuries to Children From Exercise Equipment”; CPSC Document #5028) and ASTM. The former organization also operates the National Electronic Injury Surveillance System (NEISS), a surveillance and follow-back system that gathers data from hospital emergency departments to provide timely information on consumer injuries associated with certain products or activities. Some of this data has been used to research weight training injuries, as will be addressed in the Injury Trends, Litigations & Standard Of Care Load discussion.

**Standards Of Practice As They Apply To Risk Management**

*Risk management* is a proactive administrative process that helps minimize legal liability, as well as decrease the frequency and severity of injuries and subsequent claims and lawsuits. It may not be possible to eliminate all risk of injury and liability exposure in Strength & Conditioning...
settings; however, it can be effectively minimized by implementing risk management strategies. The Strength & Conditioning coordinator is ultimately responsible for risk management, but all practitioners should be involved in the various aspects of the process. Eickhoff-Shemek\textsuperscript{23} proposes a 4-step procedure (adapted from Head & Horn\textsuperscript{34}) for applying standards of practice to the risk management process:

1. **Identify and select standards of practice, as well as all applicable laws.** Because so many standards of practice are published by various organizations, it is challenging for the Strength & Conditioning professional to be aware of all of them, and determine which ones are appropriate when implementing the risk management plan. In terms of participant safety, the most conservative or stringent standards in a given industry should generally be used.

2. **Develop risk management strategies reflecting standards of practice and all applicable laws.** This step involves writing procedures describing specific responsibilities and/or duties that staff would carry out in particular situations. The procedures should be written clearly, succinctly, and without excessive detail (too much detail may not allow the flexibility practitioners need in particular situations, and make implementation of those strategies difficult or impractical). Once the written procedures are finalized, they should be included in the staff policies and procedures manual.

3. **Implement the risk management plan.** Implementation of the risk management plan primarily involves staff training to ensure that the practitioner’s daily conduct will be consistent with written policies and procedures, and selected laws and standards of practice. The policies and procedures manual should be used in conjunction with the initial training of new employees, as well as during regular in-service training where all employees practice a particular (e.g., emergency) procedure. From a legal perspective, it is also important to explain to staff why it is essential to carry out such duties appropriately.

4. **Evaluate the risk management plan.** Like the law, standards of practice are not static and need to be updated periodically to reflect change. The risk management plan should be formally evaluated at least annually, as well as after each incidence of accident or injury to determine whether emergency procedures were performed correctly and what could be done to prevent a similar incident in the future.

**Liability Exposure In The Strength & Conditioning Profession**

While each Strength & Conditioning program and facility is unique, the NSCA Professional Standards & Guidelines Task Force has identified nine (9) areas of potential liability exposure, as delineated below. It is important to note that they are interrelated — for example, proper instruction and supervision is associated with personnel qualifications, as well as facility layout and scheduling issues. Noncompliance in any area can therefore affect others, and in turn compound the risk of liability exposure and potential litigation. Furthermore, the Strength & Conditioning practitioner and his/her employer share the corresponding duties and responsibilities.

Collectively within these liability exposure areas, eleven (11) standards and thirteen (13) guidelines for Strength & Conditioning practitioners have further been identified (these are
presented in the next section of this document). These standards and guidelines are intended to serve as an authoritative and unbiased source for professional guidance. The rationale for each is summarized below.

1. **Preparticipation Screening & Clearance.** A physical examination is imperative for all athletes prior to participating in a Strength & Conditioning program (preferably conducted by a licensed physician). This should include a comprehensive health and immunization history (as defined by current guidelines from the CENTERS FOR DISEASE CONTROL & PREVENTION), as well as a relevant physical exam, part of which includes an orthopedic evaluation. Some type of cardiovascular screening, as discussed below, is also recommended. The Strength & Conditioning professional does not need a copy of the results, but must require a signed statement verifying proof of medical clearance to participate. Athletes who are returning from an injury or illness, or who have special needs, must also be required to show proof of medical clearance prior to beginning or returning to a Strength & Conditioning program.

Currently there are no universally accepted standards for screening athletes; nor are there approved certification procedures for health care professionals who perform such examinations. However, a joint *Preparticipation Physical Evaluation Task Force* of five organizations (AMERICAN ACADEMY OF FAMILY PHYSICIANS, AMERICAN ACADEMY OF PEDIATRICS, AMERICAN MEDICAL SOCIETY FOR SPORTS MEDICINE, AMERICAN ORTHOPAEDIC SOCIETY FOR SPORTS MEDICINE, and AMERICAN OSTEOPATHIC ACADEMY OF SPORTS MEDICINE) has published a widely accepted monograph including detailed instructions on performing a preparticipation history and physical exam, determining clearance for participation, and a medical evaluation form to copy and use for each examination. Additionally, the AMERICAN HEART ASSOCIATION and AMERICAN COLLEGE OF SPORTS MEDICINE have published statements on preparticipation screening for those involved in fitness-related activities. Relevant points can be summarized as follows:

- **Educational institutions have an ethical, medical and possible legal obligation to implement cost-efficient preparticipation screening strategies (including a complete medical history and physical examination), and thereby ensure that high school and college athletes are not subject to unacceptable risks.** Support for such efforts — especially in large athletic populations — is mitigated by cost-efficiency considerations, practical limitations, and an awareness that it is not possible to achieve zero risk in competitive sports.

- **Preparticipation athletic screening should be performed by a properly qualified health care provider with the requisite training, medical skills, and background to reliably perform a physical examination, obtain a detailed cardiovascular history, and recognize heart disease.** A licensed physician is preferred, but an appropriately trained registered nurse or physician assistant may be acceptable under certain circumstances in states where nonphysician health care workers are permitted to perform preparticipation screening. In the latter situation, however, a formal certification process should be established to demonstrate expertise in performing cardiovascular examinations.

- **A complete and careful personal and family medical history and physical examination designed to identify (or raise suspicion of) cardiovascular risk factors known to cause sudden death or disease progression is the best available and most practical approach to screening populations of competitive sports participants.** Such screening is an obtainable
objective, and should be mandatory for all athletes. Initially a complete medical history and physical examination should be performed before participation in organized high school athletics (grades 9-12). An interim history should be obtained in intervening years. For collegiate athletes, a comprehensive personal/family history and physical examination should be performed by a qualified examiner initially upon entering the institution, before beginning training and competition. Screening should be repeated every two years thereafter unless more frequent examinations are indicated; and an interim history and blood pressure measurement should be obtained each subsequent year to determine whether another physical examination, and possible further testing, is required (e.g., due to abnormalities or changes in medical status).

- **Health appraisal questionnaires should be used before exercise testing and/or training to initially classify participants by risk for triage and preliminary decision-making.** Following the initial health appraisal (and medical consultation and/or supervised exercise test, if indicated), participants can be further classified for exercise training on the basis of individual characteristics. When a medical evaluation/recommendation is advised or required, written and active communication between facility staff and the participant’s personal physician or health care provider is strongly recommended. Furthermore, participants should be educated about the importance of obtaining a preparticipation health appraisal and medical evaluation/recommendation (if indicated), as well as the potential risks incurred without obtaining them.

2. **Personnel Qualifications.** Qualified and knowledgeable personnel must be hired in order to properly supervise and instruct athletes utilizing Strength & Conditioning facilities and equipment. A three-pronged approach is recommended.

   **First,** the Strength & Conditioning practitioner should acquire expertise — and have a degree from a regionally accredited college/university — in one or more of the topics comprising the “Scientific Foundations” domain identified in the Certified Strength & Conditioning Specialist® (CSCS®) Examination Content Description (i.e., exercise/sport anatomy, biomechanics, physiology, nutrition; Appendix A), or in a relevant subject (e.g., exercise/sport pedagogy, psychology, motor learning, training methodology, kinesiology). Note that the NSCA’s Educational Recognition Program has been developed to recognize institutions of higher learning that meet such requirements; and also helps to identify an educational career path for the Strength & Conditioning profession. Likewise, practitioners should make an ongoing effort to acquire knowledge and competence in the content areas outside their primary area of expertise.

   **Second,** certifications offered through professional organizations with continuing education requirements as well as a code of ethics (e.g., the NSCA CERTIFICATION COMMISSION’s CSCS® credential; Appendix B) are available to Strength & Conditioning practitioners interested in acquiring the necessary competencies. Depending on the practitioner’s specific duties, responsibilities, and interests, relevant certifications offered by other governing bodies may also be appropriate.

   **Third,** a Strength & Conditioning practitioner’s knowledge and skill development can be enhanced by applying the “performance team” concept (i.e., aligning a staff comprised of qualified professionals with interdependent expertise and shared leadership roles; Appendix
The scope of practice for the Strength & Conditioning profession has expanded and diversified to the point where it is very challenging — and often unrealistic — for each individual to acquire proficiency in all areas. Furthermore, the productivity of a hierarchical (single-leader) work group can be significantly improved by applying the team model to staffing; and the same team dynamics that augment the group’s effectiveness also tend to enhance individual members’ learning and skill acquisition.  

3. **Program Supervision & Instruction.** It has been estimated that 80% of all court cases concerning athletic injuries deal with some aspect of supervision. Although serious accidents are rare in supervised exercise programs, the liability costs associated with inadequate or lax supervision are very expensive; and the plaintiff’s recovery rate in such negligence lawsuits is almost 56%. The main causes of these incidents are poor facility maintenance, defective equipment, and inadequate instruction or supervision. The importance of staffing is readily apparent in each circumstance. For example, Rabinoff reviewed 32 litigations arising from negligent weight training supervision, and found that three issues were raised by the plaintiff’s attorneys in each case: poor instruction (or instructor qualifications); lax/poor supervision; and failure to warn of inherent dangers (in the equipment, facility, or exercise). The standard of care used in each case was based upon statements established by the NSCA, ACSM or AAHPERD. A prevalent trend in such litigations is the issue of “professional instructor qualifications” — i.e. appropriate degrees, recognized certifications, training, experience, and continuing education (refer to item 2, and Appendices A & B).

Athletes in a Strength & Conditioning facility must be properly supervised and instructed at all times to ensure maximum safety — especially because of the athletic, skillful nature of many activities implemented in Strength & Conditioning programs, in accordance with the dynamic correspondence and practice specificity principles. Bucher & Krotee recommend the following cardinal principles of supervision:

- Always be there.
- Be active and hands-on.
- Be prudent, careful, and prepared.
- Be qualified (e.g., accredited degree, CSCS, CPR, First Aid).
- Be vigilant.
- Inform athletes of safety and emergency procedures.
- Know athletes’ health status.
- Monitor and enforce rules and regulations.
- Monitor and scrutinize the environment.

In addition to the physical presence of qualified professionals during Strength & Conditioning activities, effective instruction and supervision involves a range of practical considerations:

- A clear view of all areas of the facility — or at least the zone being supervised by each practitioner — and the athletes in it (this issue is related to facility design and layout, i.e. equipment placement with respect to visibility, versatility and accessibility; refer to item 4)
• The practitioner’s proximity to the group of athletes under his/her care, i.e. the ability to see and communicate clearly with one another; and quick access to athletes in need of immediate assistance or spotting

• The number and grouping of athletes, i.e. to make optimal use of available equipment, space and time

• The athletes’ age(s), experience level(s) and need(s)

• The type of program being conducted (i.e. skillful/explosive free-weight movements vs. guided-resistance exercises) and corresponding need for coaching and spotting

In theory, Strength & Conditioning activities should be scheduled to distribute activity throughout the day, and thereby promote an optimal training environment (refer to Appendix D for basic guidelines on calculating space needs). Even with careful planning, however, most facilities have times of peak usage (e.g., as a result of team practices and athletes’ class schedules). Beyond a certain point, it is impractical to simply spread Strength & Conditioning activities over a wider range of times in order to maintain an acceptable professional-to-athlete ratio. The central issue is to accommodate peak usage times by providing adequate facilities and qualified staff, such that all athletes are properly instructed and supervised (refer to item 2). Furthermore, proper techniques, movement mechanics and safety should be emphasized in order to minimize injury risk and liability exposure (refer to Section 3 [Chapters 16-17] of Essentials of Strength Training & Conditioning as well as the NSCA position statements summarized in Appendix E). Likewise, instructional methods, procedures, and progressions that are consistent with accepted professional practices should be utilized.

While reasonable steps should be taken to make optimal use of the Strength & Conditioning facility and staff, a potential mismatch between available resources and demand for programs and services exists in many institutions during times of peak usage. As explained below in the Injury Trends, Litigations & Standard Of Care Load discussion, the combined effects of explosive growth in collegiate/scholastic athlete participation (especially among females), corresponding liability exposures, and equal opportunity/access laws create a remarkable standard of care load and liability challenge for Strength & Conditioning practitioners and their employers. A two-pronged approach can thus be recommended.

First, Strength & Conditioning activities should be planned — and the required number of qualified staff should be present — such that recommended guidelines for minimum average floor space allowance per athlete (100 ft²), professional-to-athlete ratios (1:10 junior high school, 1:15 high school, 1:20 college), and number of athletes per barbell or training station (≤3) are applied during peak usage times. In ideal circumstances, this corresponds to one Strength & Conditioning practitioner per 3-4 training stations and/or 1,000 ft² area (junior high school); 5 training stations and/or 1,500 ft² area (high school); or 6-7 training stations and/or 2,000 ft² area (college), respectively. Professional discretion can be used to adjust these guidelines with respect to the practical considerations discussed above.
Second, Strength & Conditioning practitioners and their employers should work together toward a long-term (e.g., 3-5 yr) goal of matching the professional-to-athlete ratio in the Strength & Conditioning facility to each sport’s respective coach-to-athlete ratio. This is relatively straightforward in collegiate settings where the NCAA limits the number of coaches per sport (2000-01 NCAA Division I Manual, Bylaw 11.7: pp. 62-68), and also provides sports participation data (refer to Appendix F; note that coach-to-athlete ratios for individual-event sports are lower than those for team sports).

In the absence of similar information in other (e.g., scholastic) settings, such determinations can be made on an individual institution basis; or possibly according to trends within a district, division or state.

4. Facility & Equipment Set-Up, Inspection, Maintenance, Repair & Signage. In some cases, Strength & Conditioning professionals are involved in all phases of facility design and layout. Perhaps more commonly, however, they assume responsibility for an existing facility, in which case the opportunities to plan or modify it may be limited. In either case, the Strength & Conditioning practitioner and his/her employer are jointly responsible for maximizing the safety, effectiveness and efficiency of the facility, such that the allotted space and time can be put to optimal use (refer to Greenwood [Chapter 24, pp. 549-566] in Essentials Of Strength Training & Conditioning as well as the table on calculating equipment space needs in Appendix D).

The Strength & Conditioning professional should establish written policies and procedures for equipment/facility selection, purchase, installation, set-up, inspection, maintenance and repair. Safety audits and periodic inspections of equipment, maintenance, repair and status reports should all be included. Manufacturer provided user’s manuals, warranties and operating guides, as well as other relevant records (e.g., pertaining to equipment selection, purchase, installation, set-up, inspection, maintenance and repair; refer to item 6), should be kept on file and followed regarding equipment operation and maintenance.

The Strength & Conditioning professional should understand the concept of “product liability”, which refers to the legal responsibilities of a product manufacturer and/or vendor if a person sustains injury or damage due primarily to a defect or deficiency in design or manufacturing. While this issue applies to manufacturers and vendors, there are actions and/or behaviors that can increase the Strength & Conditioning professional’s responsibility, consequently putting him/her at risk for claims or suits. The following steps should be taken to minimize liability exposures caused by Strength & Conditioning equipment:

- Buy equipment exclusively from reputable manufacturers, and be certain that it meets existing standards and guidelines for professional/commercial (not home) use.
- Use equipment only for the purpose intended by the manufacturer; do not modify it from the condition in which it was originally sold unless such adaptations are clearly designated and instructions for doing so are included in the product information.
- Post any signage provided by the manufacturer on (or in close proximity to) the equipment.
- Do not allow unsupervised athletes to utilize equipment.
• Regularly inspect equipment for damage and wear that may place athletes at risk for injury.

5. **Emergency Planning & Response.** An emergency response plan is a written document that details the proper procedures for caring for injuries that may occur to participants during activity (refer to Appendix G for sample guidelines for the collegiate environment). While all Strength & Conditioning facilities should have such a document, it is important to appreciate that the document itself does not save lives. Indeed, it may offer a false sense of security if it is not backed up with appropriate training and preparedness by astute, professional staff. Therefore, all personnel in Strength & Conditioning facilities must:

• Know the emergency response plan and the proper procedures for dealing with an emergency (i.e. location of phones, activating emergency medical services, designated personnel to care for injuries, ambulance access, and location of emergency supplies).

• Review and practice emergency policies and procedures regularly (i.e. at least quarterly).

• Maintain current certification in guidelines for cardiopulmonary resuscitation (CPR) as established by the AMERICAN HEART ASSOCIATION & INTERNATIONAL LIAISON COMMITTEE ON RESUSCITATION. First Aid training and certification may also be necessary if Sports Medicine personnel such as an ATC or MD are not immediately available.

• Adhere to universal precautions for preventing exposure to and transmission of blood-borne pathogens, as established by the CENTERS FOR DISEASE CONTROL & PREVENTION and OCCUPATIONAL SAFETY & HEALTH ADMINISTRATION.

6. **Records & Record Keeping.** Documentation is fundamental to the management of Strength & Conditioning programs and facilities. In addition to developing and maintaining a policies and procedures manual (refer to Epley [Chapter 25, pp. 567-585] in Essentials Of Strength Training & Conditioning), a variety of records should be kept on file:

• Manufacturer provided user’s manuals, warranties and operating guides; and equipment selection, purchase, installation, set-up, inspection, maintenance and repair records

• Personnel credentials

• Professional standards and guidelines

• Safety policies and procedures, including a written emergency response plan (refer to item 5; Appendix G)

• Training logs, progress entries and/or activity instruction/supervision notes

• Injury/incident reports, preparticipation medical clearance, and return to participation clearance documents (after the occurrence of an injury, illness, change in health status or an extended period of absence) for each participant under their care

• In collegiate and scholastic settings, athletes are required to sign protective legal documents (e.g., informed consent, agreement to participate, waiver; refer to Appendix H) covering all athletically related activities, including Strength & Conditioning; however in other settings, the Strength & Conditioning professional should consider having participants sign such legal documents
Legal and medical records should be kept on file as long as possible in the event of an injury claim or suit. Statutes of limitations (i.e., the time in which individuals may file a lawsuit) vary from state to state, so it is a good practice to maintain files indefinitely or consult with a legal authority. As is the case with other organizational and administrative tasks, adequate staff are necessary to properly keep and maintain such records.

7. **Equal Opportunity & Access.** Federal, state, and possibly local laws and regulations prohibit discrimination or unequal treatment (e.g., according to race, creed, national origin, gender, religion, age, handicap/disability or other such legal classifications) in most organizations, institutions and professions. For example, practitioners employed in federally-funded educational (i.e., collegiate or scholastic) settings must comply with civil rights statutes including Title IX of the Education Amendments of 1972, which mandates gender equity in providing opportunity and access to athletic facilities, programs and services. The Strength & Conditioning professional must obey the letter and spirit of these laws when working with athletes as well as staff.

8. **Participation in Strength & Conditioning Activities by Children.** Resistance training can be an important component of youth fitness, health promotion and injury prevention. Such programs are safe when properly designed and supervised; and can increase children’s strength, motor fitness skills, sports performance, psychosocial well-being and overall health. Indeed, many of the benefits associated with adult Strength & Conditioning activities are attainable by prepubescent and adolescent athletes who participate in age-specific training. However, it is important for the Strength & Conditioning practitioner to take certain precautions with children.

In a 20-year retrospective review of weight training injuries that were evaluated and/or treated in U.S. hospital emergency departments (based on NEISS data from the U.S. CONSUMER PRODUCT SAFETY COMMISSION), Jones et al. found an alarming incidence of injuries to young children. Children <7 years of age are almost 6 times more likely to be injured than those >15 years of age, with the majority (80%) resulting from playing with or around weight training equipment in the home. The CPSC estimates that about 8,700 children <5 years of age are injured each year with exercise equipment (e.g., include stationary bicycles, treadmills, and stair climbers), with an additional 16,500 injuries per year to children 5-14 years of age (“Prevent Injuries to Children From Exercise Equipment”; CPSC Document #5028). This has clear implications regarding the importance of supervising children in these age groups, and exposing them to such equipment or facilities.

9. **Supplements, Ergogenic Aids & Drugs.** The issue of using ergogenic aids, including nutritional supplements and drugs, is complicated by several factors. First, dietary supplements are regulated as foods rather than drugs according to the Dietary Supplement Health & Education Act of 1994. Consequently, many people have concerns regarding quality control/assurance and possible consequences for consumers. Strength & Conditioning practitioners are often approached for advice on nutrition and supplementation, and therefore should be aware of the following:

- The Federal Trade Commission has primary responsibility for advertising claims. Simply stated, advertising for any product — including dietary supplements — must be truthful, substantiated, and not misleading.
• The U.S. FOOD & DRUG ADMINISTRATION has primary responsibility for product labeling claims. The legislation enforced by this agency includes current good manufacturing practice regulations, and selected portions of the Federal Food, Drug & Cosmetic Act related to dietary supplements. Note that the U.S. Pharmacopeia & National Formulary, which establishes manufacturing practices for nutritional supplements (i.e. standards for identity, strength, quality, purity, packaging, labeling and storage), is cited as a primary resource in this legislation.

A second complicating factor is that the boundaries between dietary supplements, drugs and conventional foods are not clear. This is especially problematic for competitive athletes and coaches, because such products may contain substances that are banned by one or more sport governing bodies despite the manufacturer’s or vendor’s use of terms such as “herbal”, “legal”, “natural”, “organic”, “safe and effective”, etc. Furthermore, supplement manufacturers are constantly developing new products with different combinations of ingredients, making it more challenging to identify those that may be problematic.

A third factor is that banned substance policies and procedures, testing protocols, and related rules and regulations differ among sport governing bodies (e.g., MLB, NBA, NCAA, NFL, NHL, USOC). Therefore, a compound that is legal according to one governing body may be illegal according to another. Furthermore, Strength & Conditioning practitioners at NCAA member institutions need to be aware of Bylaw 16.5.2.2: “An institution may provide only non-muscle-building nutritional supplements to a student-athlete at any time for the purpose of providing additional calories and electrolytes, provided the supplements do not contain any NCAA banned substances.” The NCAA Committee on Competitive Safeguards & Medical Aspects of Sports has subsequently developed lists of permissible vs. non-permissible nutritional supplements*, although these will probably change as the market continues to evolve and new products are evaluated.

*Note: According to the legislative assistance section of the August 14, 2000 NCAA News (vol. 37 no. 7; p. 24), there is an error on p. 209 of the 2000-01 NCAA Division I Manual. The second sentence should read: “Permissible non-muscle-building nutritional supplements are identified according to the following classes: carbohydrate/electrolyte drinks, energy bars, carbohydrate boosters, and vitamins and minerals.”

Injury Trends, Litigations & Standard Of Care Load: Effects Of Rising Athletic Participation

The lack of qualified instruction and supervision can be identified — either directly or indirectly — as a causative factor in the available information on injuries and litigations associated with weight training. In some cases this is clearly documented, while in others it can be inferred. For example, the relatively high coach-to-athlete ratio (and corresponding standard of care) in Olympic-style weightlifting is a likely reason for the low incidence of injury in this sport despite its technical and athletic nature. Based on the collective information summarized below, it is difficult to overemphasize the fundamental importance of qualified staffing in fulfilling the institution’s and Strength & Conditioning professional’s shared legal duties for safety, supervision and standard of care.

Collegiate Settings. Year-round Strength & Conditioning activities are now the rule rather than the exception in collegiate athletic programs. According to NCAA data on student-athlete
participation,\textsuperscript{56} the overall number of participants increased 53\% (from 231,445 to 353,424) between 1981-82 and 1998-99. Of special interest are the changes in female participation during this period. The relative increase in women’s participation was 127\% (from 64,390 to 145,832) as compared with 24\% for men (from 167,055 to 207,592); whereas absolute growth was two-fold higher.

The total number of — and time of participation in — athletically related activities has also expanded accordingly. While desirable in terms of preparation, the allowance of nontraditional seasons, off-season skill instruction, and year-round Strength & Conditioning activities increases each student-athlete’s liability exposure and potential for injury, as well as the corresponding standard of care load placed on support staff. The NATA recently published a detailed overview of injury incidence in collegiate athletics, and found that it has risen sharply and consistently with the increase in participants and exposures.\textsuperscript{72} The potential liability issues for Strength & Conditioning professionals and their employers are further compounded by the explosive rise in female participation, and laws mandating equal opportunity and access to athletic programs, services, and facilities (refer to item 7).

\textbf{Scholastic Settings.} The sheer number of high school athletes — and growing emphasis on year-round Strength & Conditioning activities in scholastic settings — presents a tremendous challenge in terms of demand for standard of care, and accompanying liability exposure. Student-athlete participation in organized high school sports increased 65.1\% (from less than 4 million to over 6.5 million) between 1971 and 1999-2000.\textsuperscript{57} Of special interest are the changes in female participation during this period. The relative increase in girls’ participation was 810\% (from about 0.3 million to 2.7 million) as compared with 5\% for boys (from about 3.7 million to 3.9 million); whereas absolute growth was more than twelve-fold higher.

As is the case in collegiate settings, the combination of increasing participation in athletic activities (especially among females), a corresponding rise in liability exposures, and laws mandating equal opportunity and access creates a remarkable standard of care load — and challenge in terms of legal duties — for Strength & Conditioning practitioners and their employers (refer to item 7).

\textbf{Other Populations.} Reeves et al.\textsuperscript{66} performed a study of NEISS data in 1986 and observed that, while serious injuries are relatively rare, an estimated 43,400 weight training injuries were evaluated/treated in hospital emergency departments out of a total of 5.6 million visits for all sports. By comparison, emergency room visits for weight training injuries in 1995 totaled 56,400 out of more than 5.4 million visits for all sports, representing a 9-year increase of approximately 30\%.

Studies examining the incidence and types of weight training injury report varying injury rates, but similar distributions of injury types. Brown & Kimball\textsuperscript{11} found that 39.4\% of competitive adolescent powerlifters sustained injuries in training, with an injury rate of 0.0027 per 100 hours of participation. In contrast, Risser et al.\textsuperscript{67} observed that only 7.6\% of adolescent football players in a supervised weight training program sustained injuries. Zemper\textsuperscript{90} found only a 0.3\% rate of weight training injuries in a 4-year study of college football players participating in supervised training. While risk-factor studies of acute weight training injuries are lacking,
recognized contributing factors include poor technique, lack of supervision, skeletal immaturity, and steroid abuse. Some of these factors are confirmed in the NCSA and ACSM published statements on youth resistance training. Chronic weight training injuries, on the other hand, have been attributed to excessive weight training and improper training techniques. Each of these factors can be positively influenced with qualified instruction.

Jones et al. conducted a 20-year retrospective review of NEISS data on weight training injuries that were evaluated and/or treated in U.S. hospital emergency departments from October 1978 through December 1998. An estimated 980,173 injuries related to weight training activity or equipment occurred nationwide during the study period, representing a 35% increase over 20 years (note that the population increased by 20% during the same period). While adolescent/young adult males (15-24 years old) had the highest reported incidence of injury, alarming increases in injury rate were reported for females and older males, most likely because of growing participation in these groups. Boys (5-14 years old) were the only group reporting a decline in injury rate. The most common recorded venues of injury were the home (40.2%), sports or recreation sites (17.8%) and school (9.4%). Based on available reports, the most common causes of injury appear to be unsafe behavior (63%), equipment malfunction (37%), lack of supervision (30%) and inattention (10%). Once again, these factors can be positively influenced with qualified instruction and supervision.

Weight training injuries seem to be associated with various methods (e.g., bodybuilding, powerlifting, Olympic-style weightlifting, fitness/recreational weight training) and equipment (e.g., free weights, machines). Of these, explosive types of training — and free-weight apparatus — are often incorrectly believed to be inherently more dangerous than other methods. Hamill conducted a survey of sport injury rates in 13-16 year old school children, and found that the injury rate in weightlifting (0.0017 per 100 hours) is even lower than that for weight training (0.0035 per 100 hours); and that each of these injury rates were much lower than those observed for other, more popular sports (e.g., basketball 0.03; football 0.10; gymnastics 0.044; athletics 0.57). Stone et. al. reviewed the research literature on injury potential and safety aspects of Olympic-style weightlifting movements, and concluded that injuries related to competitive weightlifting are neither excessive nor serious when compared to other sports. Calhoon & Fry analyzed weightlifting injury reports at the U.S. Olympic Training Centers over a 6-year period, and found that elite weightlifters’ injuries are strains, tendinitis or sprains typical of acute (59.6%) or chronic (30.4%) overuse or inflammation, rather than recurrences or complications. There were no indications of traumatic problems commonly observed in other sports. Injury rates were calculated to be 3.3 per 1000 hours of weightlifting exposure, and the recommended number of training days missed for most (90.5%) injuries was ≤1 day. These authors concluded that weightlifting injury patterns and rates are similar to those reported for other sports and activities.
1. PREPARTICIPATION SCREENING & CLEARANCE

**Standard 1.1** Strength & Conditioning professionals must require athletes to undergo health care provider screening and clearance prior to participation, in accordance with instructions specified by the AAFP-AAP-AMSSM-AOSSM-AOASM Preparticipation Physical Evaluation Task Force\textsuperscript{63}, the AHA & ACSM\textsuperscript{8,52}, as well as relevant governing bodies and/or their constituent members (e.g., the NCAA\textsuperscript{62} for collegiate athletes; state legislatures, or individual state high school athletic associations/districts for scholastic athletes). In recreational activity programs, Strength & Conditioning professionals must require participants to undergo preparticipation screening and clearance in accordance with AHA & ACSM recommendations.\textsuperscript{8,52} For children, the clearance decision must include a determination or certification than the child has reached a level of maturity allowing participation in such activities as addressed in the “Participation in Strength & Conditioning Activities by Children” standards statement (refer to item 8).

**Guideline 1.1** Strength & Conditioning professionals should cooperate with a training participant’s health care providers at all times, and provide service in the participant’s best interest according to instructions specified by such providers.
2. PERSONNEL QUALIFICATIONS

Guideline 2.1 The Strength & Conditioning practitioner should acquire a bachelor’s or master’s degree from a regionally accredited college or university (verification by transcript or degree copy) in one or more of the topics comprising the “Scientific Foundations” domain identified in the Certified Strength & Conditioning Specialist® (CSCS®) Examination Content Description (Appendix A), or in a relevant subject. An ongoing effort should also be made to acquire knowledge and skill in the other content areas.

Guideline 2.2 The Strength & Conditioning practitioner should achieve and maintain professional certification(s) with continuing education requirements and a code of ethics, such as the CSCS® credential offered through the NSCA CERTIFICATION COMMISSION (Appendix B). Depending on the practitioner’s scope of activities, responsibilities, and knowledge requirements, relevant certifications offered by other governing bodies may also be appropriate.

Guideline 2.3 The productivity of a Strength & Conditioning staff, as well as learning and skill development of individual members, should be enhanced by aligning a performance team comprised of qualified practitioners with interdependent expertise and shared leadership roles (Appendix C). Once the team is assembled, respective activities and responsibilities from the “Practical/Applied” domain identified in the Certified Strength & Conditioning Specialist® (CSCS®) Examination Content Description (Appendix A) — as well as appropriate liaison assignments — should be delegated according to each member’s particular “Scientific Foundations” expertise.
3. PROGRAM SUPERVISION & INSTRUCTION

**Standard 3.1** Strength & Conditioning programs must provide adequate and appropriate supervision with well-qualified and trained personnel, especially during peak usage times. In order to ensure maximum health, safety, and instruction, Strength & Conditioning professionals must be present during Strength & Conditioning activities; have a clear view of the entire facility (or at least the zone being supervised by each practitioner) and the athletes in it; be physically close enough to the athletes under their care to be able to see and clearly communicate with them; and have quick access to those in need of spotting or assistance.

**Standard 3.2** In conjunction with appropriate safety equipment (e.g. power racks), attentive spotting must be provided for athletes performing activities where free weights are supported on the trunk or moved over the head/face (refer to Earle & Baechle [Chapter 17, pp. 343-389] in Essentials Of Strength Training & Conditioning).

**Guideline 3.1** Strength & Conditioning activities should be planned — and the requisite number of qualified staff (refer to item 2) should be available — such that recommended guidelines for minimum average floor space allowance per athlete (100 ft²), professional-to-athlete ratios (1:10 junior high school, 1:15 high school, 1:20 college), and number of athletes per barbell or training station (≤3) are achieved during peak usage times. Younger participants, novices or special populations engaged in such Strength & Conditioning activities should be provided with greater supervision (refer to item 8). Strength & Conditioning practitioners and their employers should work together toward a long-term goal of matching the professional-to-athlete ratio in the Strength & Conditioning facility to each sport’s respective coach-to-athlete ratio (e.g., refer to Appendix F).
4. FACILITY & EQUIPMENT SET-UP, INSPECTION, MAINTENANCE, REPAIR & SIGNAGE

Standard 4.1 Exercise devices, machines and equipment — including free weights — must be assembled, set up and placed in activity areas in full accordance with manufacturer’s instructions, tolerances and recommendations; and with accompanying safety signage, instruction placards, notices and warnings posted or placed according to ASTM standards\textsuperscript{3,4} so as to be noticed by users prior to use. In the absence of such information, professionals must complete these tasks in accordance with authoritative information available from other sources.

Standard 4.2 Prior to being put into service, exercise devices, machines or free weights must be thoroughly inspected and tested by Strength & Conditioning professionals to ensure that they are working and performing properly, and as intended by the manufacturer.

Standard 4.3 Exercise machines, equipment and free weights must be inspected and maintained at intervals specified by manufacturers. In the absence of such specifications, these items must be regularly inspected and maintained according to the Strength & Conditioning practitioner’s professional judgment.

Standard 4.4 Exercise devices, machines, equipment and free weights which are in need of repair, as determined by regular inspection or as reported by users, must be immediately removed from service and locked “out of use” until serviced and repaired; and be re-inspected and tested to ensure that they are working and performing properly before being returned to service. If such devices are involved in incidents of injury, legal advisors or risk managers must be consulted for advice prior to service/repair or destruction.

Guideline 4.1 Strength & Conditioning professionals and their employers should ensure that facilities are appropriate for Strength & Conditioning activities. Factors to be reviewed and approved prior to activity include, but are not limited to, floor surfaces, lighting, room temperature and air exchanges (refer to Greenwood [Chapter 24, pp. 549-566] in Essentials Of Strength Training & Conditioning\textsuperscript{7}).

Guideline 4.2 Manufacturer provided user’s manuals, warranties and operating guides should be preserved and followed (refer to item 6).

Guideline 4.3 All equipment, including free weights, should be cleaned and/or disinfected regularly as deemed necessary by staff. Users should be encouraged to wipe down skin-contact surfaces after each use.
5. EMERGENCY PLANNING & RESPONSE

**Standard 5.1**  Strength & Conditioning professionals must be trained and certified in current guidelines for cardiopulmonary resuscitation (CPR) established by AHA/ILCOR\(^2\); as well as universal precautions for preventing disease transmission established by the CDC\(^14\) and OSHA\(^60\). First Aid training/certification is also necessary if Sports Medicine personnel (e.g., MD or ATC) are not immediately available during Strength & Conditioning activities. New staff engaged in Strength & Conditioning activities must comply with this standard within six (6) months of employment.

**Standard 5.2**  Strength & Conditioning professionals must develop a written, venue-specific emergency response plan to deal with injuries and reasonably foreseeable untoward events within each facility. The plan must be posted at strategic areas within each facility, and practiced and rehearsed at least quarterly. The emergency response plan must be initially evaluated (e.g., by facility risk managers, legal advisors, medical providers and/or off-premise emergency response agencies) and modified as necessary at regular intervals. As part of the plan, a readily accessible and working telephone must be immediately available to summon on-premise and/or off-premise emergency response resources.

**Guideline 5.1**  The components of a written and posted emergency response plan should include: planned access to a physician and/or emergency medical facility when warranted, including a plan for communication and transportation between the venue and the medical facility; appropriate and necessary emergency care equipment on-site that is quickly accessible; and a thorough understanding of the personnel and procedures associated with the plan by all individuals (e.g., refer to Appendix G).
6. RECORDS & RECORD KEEPING

Guideline 6.1 In conjunction with written policies and procedures, Strength & Conditioning professionals should develop and maintain various records including: manufacturer provided user’s manuals, warranties and operating guides; equipment selection, purchase, installation, set-up, inspection, maintenance and repair records; personnel credentials; professional standards and guidelines; safety policies and procedures, including a written emergency response plan (refer to item 5); training logs, progress entries and/or activity instruction/supervision notes; injury/incident reports, preparticipation medical clearance, and return to participation clearance documents. In settings where participants are not otherwise required to sign protective legal documents (e.g., informed consent, agreement to participate, waiver; refer to Appendix H) covering all athletically related activities, the Strength & Conditioning professional should have such legal documents prepared for athletes under his/her care. These records should be preserved and maintained for a period of time determined by professional legal advice and consultation.
7. EQUAL OPPORTUNITY & ACCESS

Standard 7.1 Strength & Conditioning professionals and their employers must provide facilities, training, programs, services and related opportunities in accordance with all laws, regulations and requirements mandating equal opportunity, access and non-discrimination. Such federal, state and possibly local laws and regulations apply to most organizations, institutions and professionals. Discrimination or unequal treatment based upon race, creed, national origin, sex, religion, age, handicap/disability or other such legal classifications is generally prohibited.
8. PARTICIPATION IN STRENGTH & CONDITIONING ACTIVITIES BY CHILDREN

Guideline 8.1  Children under seven (7) years of age should not be permitted to engage in Strength & Conditioning activities with free weights or exercise devices/machines in facilities designed for use by adults and adolescents, and should be denied access to such training areas. Other forms of Strength & Conditioning activities may be beneficial for such children, and should be recommended according to the practitioner’s professional judgment, and with a greater degree of instruction and supervision than that supplied to adolescents and adults. Children participating in such activities should be cleared as specified in the NSCA’s “Standard for Preparticipation Screening & Clearance” (refer to item 1).

Guideline 8.2  Children between seven (7) and fourteen (14) years of age who have reached a level of maturity allowing participation in specified Strength & Conditioning activities, as determined and certified by their medical care provider (or by the Strength & Conditioning professional acting in concert with a child’s medical care provider), and after clearance for participation as specified in the NSCA’s “Standard for Preparticipation Screening & Clearance” (refer to item 1), should be individually assessed by the Strength & Conditioning professional in conjunction with the child’s parent(s)/guardian(s)/custodian(s) and health care provider(s) to determine if such children may engage in such activities in areas containing free weights and exercise devices/machines generally used by adults and older children. If so permitted, such activities should be developed and implemented according to the practitioner’s professional judgment, in conjunction with the child’s health care provider(s), and with a greater degree of instruction and supervision than that supplied to adolescents and adults.

Guideline 8.3  Children fourteen (14) years of age and older who, according to the Strength & Conditioning practitioner’s professional judgment, have reached a level of maturity allowing them to engage in specified Strength & Conditioning activities (provided they have been cleared for participation as specified in the NSCA’s “Standard for Preparticipation Screening & Clearance”; refer to item 1), may engage in such activities in areas containing free weights and exercise devices/machines generally used by adults, and with a greater degree of instruction and supervision than that supplied to adult populations while training.
9. SUPPLEMENTS, ERGOGENIC AIDS & DRUGS

Standard 9.1 Strength & Conditioning professionals must not prescribe, recommend or provide drugs, controlled substances or supplements that are illegal, prohibited, or harmful to athletes for any purpose including enhancing athletic performance, conditioning or physique. Only those substances that are lawful and have been scientifically proven to be beneficial — or at least not harmful — may be recommended or provided to athletes by Strength & Conditioning professionals.
APPENDIX A. Strength & Conditioning Practitioner Definition

In 1996, the NSCA CERTIFICATION COMMISSION and its examination service (APPLIED MEASUREMENT PROFESSIONALS) conducted a Job Analysis study with the purpose of surveying the activities, responsibilities, and knowledge requirements of a Certified Strength & Conditioning Specialist® (CSCS®). The results were used to describe the job activities of the CSCS in sufficient detail to provide a basis for the development of a professional, job-related certification examination that will certify S&C specialists as competent professionals. An early step in the process was to create a “practitioner definition”. Essentially, this definition is a job description that establishes the legal and professional scope of practice of the appropriate activities of a CSCS (refer to Appendix B).²,⁶,⁷

Certified Strength & Conditioning Specialists are professionals who practically apply foundational knowledge to assess, motivate, educate, and train athletes for the primary goal of improving sport performance. They conduct sport-specific testing sessions, design and implement safe and effective strength training and conditioning programs, and provide guidance for athletes in nutrition and injury prevention. Recognizing their area of expertise is separate and distinct from the medical, dietetic, athletic training, and sport coaching fields, Certified Strength & Conditioning Specialists consult with and refer athletes to these professionals when appropriate.

The CSCS Job Analysis study evaluated the results of a questionnaire sent to 1,020 randomly selected NSCA members who were CSCS-certified as of October 1996. Respondents were asked to assign an importance to 112 tasks that a CSCS typically performs on the job. From this data, the NSCA CERTIFICATION COMMISSION’s CSCS Job Analysis Committee determined the inclusion criteria of the tasks (5 of the original 112 were excluded), the distribution of tasks within each CSCS exam domain and its subcategories, as well as distribution of the exam question type (i.e. recall, application, and analysis) within each domain and its subcategories. The document resulting from the CSCS Job Analysis study is the “CSCS Examination Content Outline” (refer to the abbreviated summary below), which forms the basis for the Certified Strength & Conditioning Specialist (CSCS) Examination Content Description,⁵⁸ an exam preparation resource available through the NSCA CERTIFICATION COMMISSION.

CSCS® Examination Content Outline
Adapted from: Certified Strength & Conditioning Specialist® (CSCS®) Examination Content Description⁵⁸

Scientific Foundations

I. Exercise Sciences (80 questions)
   A. Develop training programs that demonstrate an understanding of human muscle physiology.
   B. Develop training programs that demonstrate an understanding of human neuromuscular adaptations.
   C. Develop training programs that demonstrate an understanding of the basic principles of human biomechanics with respect to exercise selection, execution, and sport performance.
   D. Develop training programs that demonstrate an understanding of human bone, muscle, and connective tissue adaptations.
   E. Develop training programs that demonstrate an understanding of human bioenergetics and metabolism.
   F. Develop training programs that demonstrate an understanding of human neuroendocrine responses.
   G. Develop training programs that demonstrate an understanding of human cardio-pulmonary anatomy and physiology.
   H. Develop training programs that demonstrate an understanding of acute and chronic physiological responses and adaptations to aerobic and anaerobic exercise.
   I. Develop training programs that demonstrate an understanding of the anatomical, physiological, and biomechanical differences of athletes.
   J. Use sport psychology techniques to enhance the training and/or performance of an athlete.
   K. Explain the effects, risks, and alternatives of various performance-enhancing substances and methods

II. Nutrition (20 questions)
   A. Explain nutritional factors affecting health and performance.
   B. Explain the techniques to manipulate food choices and training methods to maximize performance.
   C. Recognize signs, symptoms, and behaviors associated with eating disorders.
D. Recognize the nature of an athlete’s nutritional status and determine the appropriateness of a referral to a registered dietician or related nutritional professional.

Practical/Applied

I. Program Design (35 questions)
   A. Design training programs that maximize performance by prescribing various training methods and modes based upon an athlete’s health status, strength and conditioning levels, and training goals.
   B. Design training programs that maximize performance by selecting exercises based upon an athlete’s health status, strength and conditioning levels, and training goals.
   C. Design training programs that maximize performance by applying the principles of exercise order based upon an athlete’s health status, strength and conditioning levels, and training goals.
   D. Design training programs that maximize performance by determining and prescribing appropriate loads/resistances (including heart rate guidelines) based upon an athlete’s health status, strength and conditioning levels, and training goals.
   E. Design training programs that maximize performance by determining and prescribing appropriate volumes (defined as sets x reps) based upon an athlete’s health status, strength and conditioning levels, and training goals.
   F. Design training programs that maximize performance by determining and prescribing appropriate work/duration and rest periods and training frequencies based upon an athlete’s health status, strength and conditioning levels, and training goals.
   G. Design training programs that maximize performance by utilizing the principles of periodization.
   H. Design training programs that maximize performance and/or decrease injury susceptibility by prescribing exercises to develop and/or maintain muscular balance between antagonistic muscles, muscle groups, and/or body parts.
   I. Communicate with the athletic medicine staff and/or medical professional to coordinate the rehabilitation/reconditioning of an athlete.

II. Exercise Techniques (33 questions)
   A. Describe and instruct flexibility exercises.
   B. Describe and instruct conditioning exercises.
   C. Describe and instruct plyometric exercises.
   D. Describe and instruct strength training exercises.
   E. Describe and instruct spotting procedures.

III. Organization & Administration (10 questions)
   A. Establish policies and procedures associated with the day-to-day operation of the strength and conditioning facility.
   B. Determine the layout of the facility for effective use of time and space.
   C. Maintain equipment and facility to provide a safe training environment.

IV. Testing & Evaluation (12 questions)
   A. Select and administer appropriate tests to maximize test reliability and validity.
   B. Evaluate and identify the significance of testing results.
APPENDIX B. Certified Strength & Conditioning Specialist® (CSCS®) Program

The CSCS Credential. The CSCS program was initiated in 1985 to identify individuals who possess the knowledge and skills to design and implement safe and effective Strength & Conditioning programs. This certification program encourages a higher level of competence among practitioners, which in turn, raises the quality of strength training and conditioning programs to athletes by those who are CSCS-certified.

The CSCS educates and trains primarily athletes in proper strength training and conditioning practices. These professionals are a diverse group, however, the primary “players” are Strength & Conditioning coaches, athletic trainers, and physical therapists. CSCS professionals work in a variety of environments, including high school, college, university and professional institutions, Sports Medicine clinics, health and fitness clubs, corporate wellness centers, and in professional sports.

Competencies assessed in the four-hour CSCS examination were determined via a national task analysis conducted by an independent professional examination service. Thus, Strength & Conditioning professionals identified the competency areas. Every step in the process leading to the development of valid certification exams met the NCCA’s stringent guidelines. Pass/fail rates and reliability statistics are published annually. The NSCA CERTIFICATION COMMISSION is the only fitness-related certification program to provide public disclosure of this information.

Writers of the exams include renowned practitioners, researchers, educators, and psychometricians. While there are many certification programs associated with physical training, the CSCS certification examination program is only one that has been specifically designed to assess the competencies of those who strength train and condition athletes.

Accreditation. In 1993 the NSCA CERTIFICATION COMMISSION certification program became the first — and is still the only — fitness-related certification accredited by the esteemed NATIONAL COMMISSION FOR CERTIFYING AGENCIES®. The rigorous CSCS and NSCA Certified Personal Trainer® (NSCA-CPT) examinations are the most challenging in the industry.

*CNote: The NCCA is the accreditation body of the NATIONAL ORGANIZATION FOR COMPETENCY ASSURANCE, a non-profit non-government agency that promotes excellence in competency assurance for practitioners in all occupations and professions. The NCCA measures the ability of certifying organizations in any industry to accurately discriminate between qualified and unqualified professionals. To earn NCCA recognition, a credentialing body must demonstrate an ability to develop and administer psychometrically sound examinations that effectively discriminate between qualified and unqualified professionals through a series of criteria, including:

• The certifying organization is nonprofit; and is responsible for all decisions pertaining to certification and recertification (including, but not limited to, examination content, eligibility requirements, grievance and disciplinary policies, setting fees, program operation, etc.) without being subject to approval by any other body.

• The examination is developed from job analysis data gathered from a national study.

• Pass/fail rates and reliability statistics are a matter of public record.

• Examination development involves qualified professionals, such as content experts and psychometricians with expertise in exam development.

CSCS Examination Format. To earn the CSCS credential, candidates must pass a rigorous four-hour examination that consists of two sections. A candidate must pass both sections to be CSCS-certified (and may retake any section not passed). The first section, referred to as “Scientific Foundations”, consists of 100 multiple-choice questions in the areas of:

• Exercise Sciences (Anatomy, Exercise Physiology, Biomechanics)

• Nutrition

The second section, “Practical/Applied”, consists of 90 multiple-choice questions — 30 of which involve viewing a videotape and answering questions associated with the exercises, muscles and/or joints shown. The areas covered include:

• Basic & Advanced Program Design

• Exercise Technique

• Organization & Administration

• Testing & Evaluation
**Continuing Education Program.** The purpose of having continuing education as part of a certification program is to encourage certificants to stay abreast of evolving knowledge and skills in the profession, and, in doing so, to promote the ongoing competency of those who are certified. In order to remain certified, the Executive Council of the NSCA CERTIFICATION COMMISSION requires each certificant to do the following to remain certified:

- Complete six (6.0) CEUs or a prorated amount of CEUs if certified within the three-year reporting period.
- Submit a completed CEU Reporting Form and recertification fee.
- Maintain documentation of activities listed on the CEU Reporting Form.

**NSCA CERTIFICATION COMMISSION Code of Ethics for Certificants.** With the credibility possessed by the CSCS and NSCA-CPT examinations comes the responsibility to ensure the integrity of the credentials awarded. The Code of Ethics of the NSCA CERTIFICATION COMMISSION was established to make its certificants — CSCS and NSCA-CPT — aware of the standards of ethical behavior that should be followed in the practice of their profession (strength training and conditioning and personal training). The Code presents fundamental standards of behavior that all certificants should strive to achieve. It serves to increase ethical sensitivity and judgment, strengthen support for certificants’ moral courage and sharpen their sense of identity as an integral part of the NSCA CERTIFICATION COMMISSION and its continued success.

The NSCA CERTIFICATION COMMISSION is committed to certifying individuals who demonstrate the knowledge and skills necessary to design and implement safe and effective strength training and conditioning and personal training practices. The NSCA CERTIFICATION COMMISSION also believes that continuing education encourages individuals to stay abreast of evolving knowledge and skills, and, in doing so, promotes their ongoing competency. This philosophy implies that the responsibility of its certificants is not limited to the well being of the athletes and/or clients, but also to society in general and the reputation of others in their field; with the overall goal being an improvement of health and well being for all.

The NSCA CERTIFICATION COMMISSION is dedicated to maintaining a high standard for certificants. The following Code of Ethics assures that CSCS and NSCA-CPT certificants are aware of the standards of ethical behavior that should be followed in the practice of their profession. Certificants shall:

1. Respect the rights, welfare, and dignity of all individuals.
2. Strive to provide equal and fair treatment to all individuals and not discriminate against anyone.
3. Provide and maintain a safe and effective training environment.
4. Comply with all general laws of the land including, but not limited to, applicable business, employment and copyright laws.
5. Accept responsibility for the use of sound judgment when working with their clientele.
6. Respect the confidentiality of their clientele while remaining accountable.
7. Refer their clientele to more qualified fitness, medical, or health professionals when appropriate.
8. Remain current on practical and theoretical foundations through continuing education activities.
9. Avoid engaging in any behavior or form of conduct that would constitute a conflict of interest or actions that adversely reflect on the profession or the NATIONAL STRENGTH & CONDITIONING ASSOCIATION, and the NSCA CERTIFICATION COMMISSION.
10. Strive to safeguard the public by reporting violations of this Code of Ethics.
APPENDIX C. Strength & Conditioning Performance Team Development

Katzenbach & Smith\textsuperscript{44} define a team as “a small number of people with complementary skills who are committed to a common purpose, performance goals, and approach for which they hold themselves mutually accountable.” Teams are preferable to single-leader groups when there is a need for collective work products (i.e. multiple skills, judgments and experiences) by members working together in real time, shifting leadership roles, and mutual as well as individual accountability. In contrast, single-leader/hierarchical work groups are appropriate when the sum of independent workers’ contributions is adequate, singular rather than shared leadership is effective, task(s) and corresponding solution(s) are familiar, workers’ skills can be applied productively without interaction (other than sharing information), and speed and efficiency have priority over extra performance results.\textsuperscript{44,45}

Extraordinarily demanding challenges are the driving forces behind high-performance teams. Common features of such teams include: \textsuperscript{44,45}

- Members are committed to a clear mission, common approach, collaboration, and mutual accountability and responsibility.
- Expectations and goals are high but achievable, and performance evaluation is based on results.
- Roles are interdependent; leadership is shared; abilities, experiences, expertise, knowledge, skills and talents are complementary; contribution, participation and influence are balanced.
- Effective task performance is facilitated by encouraging and rewarding creativity, innovation and risk taking in all decision making or problem solving activities.

When aligning a Strength & Conditioning staff, the performance team concept can be applied by hiring practitioners with expertise and formal education in one or more of the topics comprising the “Scientific Foundations” domain\textsuperscript{58} (Appendix A) or a related subject. Once the team is assembled, corresponding activities and responsibilities comprising the “Practical/Applied” domain\textsuperscript{58} (Appendix A) — as well as liaison assignments — can be delegated. In addition to exploiting greater collective expertise, this approach provides each practitioner with an opportunity to augment knowledge and skill acquisition in areas outside of his/her specialty. The Strength & Conditioning coordinator is still ultimately responsible for overseeing all aspects of the program, and for determining appropriate utilization of associates/assistants in the provision of safe, effective and efficient service. Specific duties and responsibilities can be assigned according to specialty areas or subdisciplines, in much the same way a sport coaching staff typically has offensive/defensive coordinators, liaison assignments, etc. Following is an example of how to align a Strength & Conditioning performance team:

<table>
<thead>
<tr>
<th>Scientific Foundations Education/Expertise</th>
<th>Practical &amp; Applied Activities/Responsibilities</th>
<th>Liaison Assignment(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exercise/Sport Anatomy; Biomechanics</td>
<td>Exercise Technique</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td></td>
<td>Testing &amp; Evaluation</td>
<td>Team Coaches</td>
</tr>
<tr>
<td></td>
<td>Rehabilitation &amp; Reconditioning\textsuperscript{*}</td>
<td>Sports Medicine Team</td>
</tr>
<tr>
<td>Exercise/Sport Physiology</td>
<td>Program Design</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td></td>
<td>Testing &amp; Evaluation</td>
<td>Team Coaches</td>
</tr>
<tr>
<td>Exercise/Sport Nutrition</td>
<td>Nutritionist</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td>Exercise/Sport Pedagogy</td>
<td>Program Design</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td></td>
<td>Exercise Technique</td>
<td>Team Coaches</td>
</tr>
<tr>
<td></td>
<td>Organization &amp; Administration</td>
<td>Athletic Administration</td>
</tr>
<tr>
<td>Exercise/Sport Psychology; Motor Learning</td>
<td>Exercise Technique</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td></td>
<td>Rehabilitation &amp; Reconditioning\textsuperscript{*}</td>
<td>Sports Medicine Team</td>
</tr>
<tr>
<td>Training Methodology</td>
<td>Program Design</td>
<td>Exercise &amp; Sport Science Faculty</td>
</tr>
<tr>
<td></td>
<td>Organization &amp; Administration</td>
<td>Athletic Administration</td>
</tr>
<tr>
<td>Kinesiology; Physiotherapy; Sports Medicine</td>
<td>Rehabilitation &amp; Reconditioning\textsuperscript{*}</td>
<td>Sports Medicine Team</td>
</tr>
</tbody>
</table>

\textsuperscript{*}One area to carefully consider is that of a Rehabilitation & Reconditioning specialist, i.e. a member of the performance team who works specifically with injured/modified athletes to reduce risk of re-injury and facilitate return to full activity (refer to Potach & Borden [Chapter 23, pp. 529-545] in Essentials Of Strength Training & Conditioning\textsuperscript{5}). Due to such athletes’ increased need for care, it is impractical — especially during peak usage times — for Strength & Conditioning professionals to work with injured or modified participants while simultaneously instructing/supervising larger groups. In some situations, the Strength & Conditioning and Sports Medicine facilities are adjacent (or shared), with natural crossover in respective activities and responsibilities. In many others, however, they are separated; and there is an opportunity to improve interaction and communication, and resulting provision of care for injured/modified athletes. In either case, the Rehabilitation & Reconditioning specialist would be an appropriate choice for liaison between the Strength & Conditioning and Sports Medicine staff.
## APPENDIX D.  Strength & Conditioning Facility Scheduling

<table>
<thead>
<tr>
<th>Area</th>
<th>Examples</th>
<th>Formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prone/supine exercises</td>
<td>Bench press</td>
<td>Actual weight bench length (6-8 ft.) + safety space cushion of 3 ft. multiplied by suggested user space for weight bench width of 7 ft. + safety cushion of 3 ft.</td>
</tr>
<tr>
<td></td>
<td>Lying triceps extension</td>
<td>Example: If using a 6 ft. long weight bench for the bench press exercise (6 ft. + 3 ft.) x (7 ft. + 3 ft.) = 90 ft.²</td>
</tr>
<tr>
<td>Standing exercises</td>
<td>Bicep curl</td>
<td>Actual bar length (4-7 ft.) + double-wide safety space cushion of 6 ft. multiplied by suggested user space for standing exercise width of 4 ft.</td>
</tr>
<tr>
<td></td>
<td>Upright row</td>
<td>Example: If using a 4 ft. curl bar for the bicep curl exercise (4 ft. + 6 ft.) x (4 ft.) = 40 ft.²</td>
</tr>
<tr>
<td>Standing exercises from rack</td>
<td>Back squat</td>
<td>Actual bar length (5-7 ft.) + double-wide safety space cushion of 6 ft. multiplied by suggested user space for standing exercise from a rack width of 8-10 ft.</td>
</tr>
<tr>
<td></td>
<td>Shoulder press</td>
<td>Example: If using a 7 ft. Olympic bar for the back squat exercise (7 ft. + 6 ft.) x (10 ft.) = 130 ft.²</td>
</tr>
<tr>
<td>Olympic lifting area</td>
<td>Power clean</td>
<td>Lifting platform length (typically 8 ft.) + perimeter walkway safety space cushion of 4 ft. multiplied by lifting platform width (typically 8 ft.) + perimeter walkway safety space cushion of 4 ft.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Example: (8 ft. + 4 ft.) x (8 ft. + 4 ft.) = 144 ft.²</td>
</tr>
</tbody>
</table>
APPENDIX E. Strength & Conditioning Training Plan Development

A detailed discussion of developing a Strength & Conditioning training plan is beyond the scope of this project. For more specific information on program design, the Strength & Conditioning practitioner should refer to Section 4 (Chapters 18-23) of Essentials of Strength Training & Conditioning as well as the NSCA position statements summarized below.

Additional information on fundamental training principles and their practical implications is also available through other resources.

NSCA Position Statement Summaries

Strength Training For Female Athletes

- Proper Strength & Conditioning exercise programs may increase athletic performance, improve physiological function and reduce the risk of injuries. These effects are as beneficial to female athletes as they are to males.
- Due to similar physiological responses, males and females should train for strength in the same basic way, employing similar methodologies, programs and types of exercises. Coaches should assess the needs of each individual athlete, male or female, and train that athlete accordingly. There may be more differences between individuals of the same gender than between males and females. Still, there may be psychological and/or physiological considerations that should be taken into account in training female athletes.
- Because females are, in general, weaker than males in their upper bodies, they should be urged to work especially hard on upper body strength training.
- A major concern is the relatively small body of scientific literature concerning strength training that is applicable to the training of competitive female athletes. More research involving female athletes is needed, utilizing more aggressive and modern programs.

The Squat Exercise In Athletic Conditioning

- Squats, when performed correctly and with appropriate supervision, are not only safe, but may be a significant deterrent to knee injuries.
- The squat exercise can be an important component of a training program to improve the athlete’s ability to forcefully extend the knees and hips, and can considerably enhance performance in many sports.
- Excessive training, overuse injuries and fatigue-related problems do occur with squats. The likelihood of such injuries and problems is substantially diminished by adherence to established principles of exercise program design.
- The squat exercise is not detrimental to knee joint stability when performed correctly.
- Weight training, including the squat exercise, strengthens connective tissue, including muscles, bones, ligaments and tendons.
- Proper form depends on the style of the squat and the muscles to be conditioned. Bouncing in the bottom position of a squat to help initiate ascent increases mechanical loads on the knee joint and is therefore contraindicated.
- While squatting results in high forces on the back, injury potential is low with appropriate technique and supervision.
- Conflicting reports exist as to the type, frequency and severity of weight-training injuries. Some reports of high injury rate may be based on biased samples. Others have attributed injuries to weight training, including the squat, which could have been caused by other factors.
- Injuries attributed to the squat may result not from the exercise itself, but from improper technique, pre-existing structural abnormalities, other physical activities, fatigue or excessive training.

Anabolic-Androgenic Steroid (AAS) Use By Athletes

- The use of AAS by athletes is illegal and punishable under federal law.
- S&C professionals must not condone, publicly or privately, the use of AAS.
• Exogenous AAS may provide users competitive advantages.
• Those who use AAS face increased health risks that are not yet fully defined.
• The lay press and general public have exaggerated both the risks and benefits of AAS.
• The NSCA encourages survey efforts to increase our knowledge and understanding of the use and prevalence of AAS in scholastic, collegiate and professional athletics.
• If drug testing is to be the deterrent to AAS usage, then testing should equally encompass all levels of athletics.
• All those concerned about AAS usage and supportive of athletic competition should reevaluate their beliefs about sport in order to more clearly define the accountability of AAS use.
• It will always be the aim of the NSCA to work within the organization and with other athletic and professional organizations to discourage AAS use and promote athletic performance based on proper training methods and fair play.
• The NSCA, therefore, denounces the use of AAS for the purpose of performance enhancement.

**Explosive Exercises & Training**

• Resistance exercises characterized by maximal or near maximal rates of force development or by high accelerations, usually referred to as “explosive exercises”, are effective for enhancing physical performance.
• Explosive exercises may be necessary for optimal physical conditioning in some sports, particularly those involving high accelerations.
• In keeping with the principle of specificity of training, explosive exercises can be used to simulate movement patterns and velocity and acceleration patterns of many sports movements.
• Experienced and knowledgeable instructors should teach explosive exercises.
• When properly taught and supervised, explosive exercises do not involve excessive risk of injury.
• Reduction of athletic injury risks associated with participation in sports involving high rates of force development or high accelerations, probably require some training with exercises involving high rates of force development or high accelerations.

**Explosive/Plyometric Exercises**

• The stretch-shortening cycle, characterized by rapid deceleration of a mass followed almost immediately by rapid acceleration of the mass in the opposite direction is essential in the performance of most competitive sports, particularly those involving running, jumping and rapid changes in direction.
• A plyometric exercise program — which trains the muscles, connective tissue and nervous system to effectively carry out the stretch-shortening cycle — can improve performance in most competitive sports.
• A plyometric training program for athletes should include sport-specific exercises.
• Carefully applied plyometric exercise programs are no more harmful than other forms of sports training and competition, and may be necessary for safe adaptation to the rigors of “explosive” sports.
• Only athletes who have already achieved high levels of strength through standard resistance training should engage in plyometric drills.
• Depth jumps should only be used by a small percentage of athletes engaged in plyometric training. As a rule, athletes weighing over 220 lbs. should not depth jump from platforms higher than 18 inches.
• Plyometric drills affecting a particular muscle/joint complex should not be performed on consecutive days.
• Plyometric drills should not be performed when an athlete is fatigued. Time for complete recovery should be allowed between plyometric exercise sets.
• Footwear and landing surfaces used in plyometric drills must have good shock absorbing qualities.
• A thorough set of warm-up exercises should be performed before beginning a plyometric training session. Less demanding drills should be mastered prior to attempting more complex and intense drills.

**Human Growth Hormone (HGH) Use By Athletes**

• Current research does not support the use of exogenous HGH as an effective ergogenic aid in normal humans.

• There is a great deal of evidence suggesting that the use of exogenous HGH in normal human subjects can lead to severe pathologies.

• Attempts to increase endogenous production of HGH through amino acid supplementation have been generally ineffective in humans.

**Youth Resistance Training**

• Properly designed/supervised resistance training programs are safe for children.

• Properly designed/supervised resistance training programs can increase the strength, motor fitness skills, sports performance, psychosocial well-being and overall health of children.

• Properly designed/supervised resistance training programs can help prevent injuries in children.

**The College Strength & Conditioning Professional**

• Based upon 1997 survey information, collegiate Strength & Conditioning professionals are responsible for:
  • overseeing Strength & Conditioning programs for 18 sports teams annually
  • overseeing Strength & Conditioning programs for 362 college athletes annually
  • overseeing Strength & Conditioning facilities averaging nearly 5,000 square feet (the actual average square footage of such facilities may be higher)
  • overseeing an average of 44 athletes training at the same time in Strength & Conditioning facilities (during peak periods this figure may be higher)
  • supervising 3 employees
### APPENDIX F. NCAA Division I Athlete-to-Coach Ratios

Table F1: NCAA overall Division I championship sports participation (1998-99), limitations on number of coaches (2000-01 NCAA Division I Manual, Bylaw 11.7; pp. 62-68), and resulting athlete-to-coach ratios.

<table>
<thead>
<tr>
<th>Sport</th>
<th>Teams</th>
<th>Athletes</th>
<th>Average squad size</th>
<th>Limit on no. of coaches</th>
<th>Athletes per coach</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOTAL [men + women]</td>
<td>5,790</td>
<td>142,409</td>
<td>24.6</td>
<td>105</td>
<td>8.5</td>
</tr>
<tr>
<td>Average [36 sports]</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table F2: NCAA Men’s Division I championship sports participation (1998-99), limitations on number of coaches (2000-01 NCAA Division I Manual, Bylaw 11.7; pp. 62-68), and resulting athlete-to-coach ratios.

<table>
<thead>
<tr>
<th>Sport</th>
<th>Teams</th>
<th>Athletes</th>
<th>Average squad size</th>
<th>Limit on no. of coaches</th>
<th>Athletes per coach</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseball</td>
<td>276</td>
<td>9,114</td>
<td>33.0</td>
<td>3</td>
<td>9.1</td>
</tr>
<tr>
<td>Basketball</td>
<td>312</td>
<td>4,799</td>
<td>15.4</td>
<td>4</td>
<td>3.8</td>
</tr>
<tr>
<td>Cross Country</td>
<td>295</td>
<td>4,180</td>
<td>14.2</td>
<td>2</td>
<td>7.1</td>
</tr>
<tr>
<td>Fencing</td>
<td>20</td>
<td>359</td>
<td>17.9</td>
<td>2</td>
<td>9.0</td>
</tr>
<tr>
<td>Football</td>
<td>231</td>
<td>23,943</td>
<td>103.7</td>
<td>11</td>
<td>9.4</td>
</tr>
<tr>
<td>Golf</td>
<td>284</td>
<td>3,164</td>
<td>11.1</td>
<td>2</td>
<td>5.6</td>
</tr>
<tr>
<td>Gymnastics</td>
<td>23</td>
<td>336</td>
<td>14.6</td>
<td>3</td>
<td>4.9</td>
</tr>
<tr>
<td>Ice Hockey</td>
<td>53</td>
<td>1,470</td>
<td>27.7</td>
<td>3</td>
<td>9.2</td>
</tr>
<tr>
<td>Lacrosse</td>
<td>52</td>
<td>2,033</td>
<td>39.1</td>
<td>3</td>
<td>13.0</td>
</tr>
<tr>
<td>Rifle</td>
<td>28</td>
<td>209</td>
<td>7.5</td>
<td>2</td>
<td>3.7</td>
</tr>
<tr>
<td>Skiing</td>
<td>12</td>
<td>179</td>
<td>14.9</td>
<td>2</td>
<td>7.5</td>
</tr>
<tr>
<td>Soccer</td>
<td>192</td>
<td>5,040</td>
<td>26.3</td>
<td>3</td>
<td>8.8</td>
</tr>
<tr>
<td>Swimming/Diving</td>
<td>152</td>
<td>3,691</td>
<td>24.3</td>
<td>3</td>
<td>8.1</td>
</tr>
<tr>
<td>Tennis</td>
<td>274</td>
<td>2,850</td>
<td>10.4</td>
<td>2</td>
<td>5.2</td>
</tr>
<tr>
<td>Track (indoor)</td>
<td>245</td>
<td>8,761</td>
<td>35.8</td>
<td>3</td>
<td>11.9</td>
</tr>
<tr>
<td>Track (outdoor)</td>
<td>261</td>
<td>9,365</td>
<td>35.9</td>
<td>3</td>
<td>12.0</td>
</tr>
<tr>
<td>Volleyball</td>
<td>22</td>
<td>398</td>
<td>18.1</td>
<td>3</td>
<td>6.0</td>
</tr>
<tr>
<td>Water Polo</td>
<td>24</td>
<td>546</td>
<td>22.8</td>
<td>2</td>
<td>11.4</td>
</tr>
<tr>
<td>Wrestling</td>
<td>94</td>
<td>2,782</td>
<td>29.6</td>
<td>3</td>
<td>9.9</td>
</tr>
<tr>
<td>TOTAL</td>
<td>2,850</td>
<td>83,218</td>
<td></td>
<td>59</td>
<td></td>
</tr>
<tr>
<td>Average [19 sports]</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table F3: NCAA Women’s Division I championship sports participation (1998-99), limitations on number of coaches (2000-01 NCAA Division I Manual, Bylaw 11.7; pp. 62-68), and resulting athlete-to-coach ratios.

<table>
<thead>
<tr>
<th>Sport</th>
<th>Teams</th>
<th>Athletes</th>
<th>Average squad size</th>
<th>Limit on no. of coaches</th>
<th>Athletes per coach</th>
</tr>
</thead>
<tbody>
<tr>
<td>Basketball</td>
<td>306</td>
<td>4,440</td>
<td>14.5</td>
<td>4</td>
<td>3.6</td>
</tr>
<tr>
<td>Cross Country</td>
<td>309</td>
<td>4,756</td>
<td>15.4</td>
<td>2</td>
<td>7.7</td>
</tr>
<tr>
<td>Fencing</td>
<td>25</td>
<td>337</td>
<td>13.5</td>
<td>2</td>
<td>6.7</td>
</tr>
<tr>
<td>Field Hockey</td>
<td>73</td>
<td>1,659</td>
<td>22.7</td>
<td>3</td>
<td>7.6</td>
</tr>
<tr>
<td>Golf</td>
<td>183</td>
<td>1,658</td>
<td>9.1</td>
<td>2</td>
<td>4.5</td>
</tr>
<tr>
<td>Gymnastics</td>
<td>67</td>
<td>1,119</td>
<td>16.7</td>
<td>3</td>
<td>5.6</td>
</tr>
<tr>
<td>Lacrosse</td>
<td>66</td>
<td>1,660</td>
<td>25.2</td>
<td>3</td>
<td>8.4</td>
</tr>
<tr>
<td>Rifle</td>
<td>32</td>
<td>140</td>
<td>4.4</td>
<td>2</td>
<td>2.2</td>
</tr>
<tr>
<td>Rowing</td>
<td>71</td>
<td>3,933</td>
<td>55.4</td>
<td>3</td>
<td>18.5</td>
</tr>
<tr>
<td>Skiing</td>
<td>14</td>
<td>199</td>
<td>14.2</td>
<td>2</td>
<td>7.1</td>
</tr>
<tr>
<td>Soccer</td>
<td>251</td>
<td>6,152</td>
<td>24.5</td>
<td>3</td>
<td>8.2</td>
</tr>
<tr>
<td>Swimming/Diving</td>
<td>175</td>
<td>4,389</td>
<td>25.1</td>
<td>3</td>
<td>8.4</td>
</tr>
<tr>
<td>Tennis</td>
<td>302</td>
<td>2,899</td>
<td>9.6</td>
<td>2</td>
<td>4.8</td>
</tr>
<tr>
<td>Track (indoor)</td>
<td>262</td>
<td>8,415</td>
<td>32.1</td>
<td>3</td>
<td>10.7</td>
</tr>
<tr>
<td>Track (outdoor)</td>
<td>273</td>
<td>8,878</td>
<td>32.5</td>
<td>3</td>
<td>10.8</td>
</tr>
<tr>
<td>Volleyball</td>
<td>299</td>
<td>4,117</td>
<td>13.8</td>
<td>3</td>
<td>4.6</td>
</tr>
<tr>
<td>TOTAL</td>
<td>2,940</td>
<td>59,191</td>
<td></td>
<td>46</td>
<td></td>
</tr>
<tr>
<td>Average [17 sports]</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Emergency Care. Reasonable attention to all possible preventive measures will not eliminate sports injuries. Each scheduled practice or contest of an institution-sponsored intercollegiate athletics event, as well as out-of-season practices and skills sessions, should include an emergency plan. Like student-athlete welfare in general, a plan is a shared responsibility of the athletics department; administrators, coaches and medical personnel should all play a role in the establishment of the plan, procurement of resources and understanding by all parties. Components of such a plan should include:

1. The presence of a person qualified and delegated to render emergency care to a stricken participant;
2. The presence or planned access to a physician for prompt medical evaluation of the situation, when warranted;
3. Planned access to a medical facility, including a plan for communication and transportation between the athletics site and the medical facility for prompt medical services, when warranted. Access to a working telephone or other telecommunications device, whether fixed or mobile, should be assured;
4. All necessary emergency equipment should be at the site or quickly accessible. Equipment should be in good operating condition, and personnel must be trained in advance to use it properly. Additionally, emergency information about the student-athlete should be available both at home and on the road for use by medical personnel;
5. A thorough understanding by all parties, including the leadership of visiting teams, of the personnel and procedures associated with the emergency care plan; and
6. Certification in cardiopulmonary resuscitation techniques (CPR), first aid, and prevention of disease transmission (as outlined by OSHA guidelines) should be required for all athletics personnel associated with practices, competitions, skill instruction, and Strength & Conditioning. New staff engaged in these activities should comply with these rules within six months of employment.

Emergency Plan Example Template
Source: http://www.ncaa.org/sports_sciences/emergency_plan.html

Acknowledgment
The following emergency plan template was developed by Ron Courson (Director of Sports Medicine, University of Georgia; Chair, NATA College/University Athletic Trainers’ Committee) and the University of Georgia Sports Medicine staff. This document provides a starting point for institutional development of individualized emergency plans for all athletics activities (Source: Guideline 1a [pp. 6-7]. NCAA Sports Medicine Handbook).
cardiopulmonary resuscitation (CPR), first aid, prevention of disease transmission, and emergency plan review is required for all athletics personnel associated with practices, competitions, skills instruction, and Strength & Conditioning.

2. **Emergency Communication.** Communication is the key to quick delivery of emergency care in athletic trauma situations. Athletic trainers and emergency medical personnel must work together to provide the best possible care to injured athletes. Communication prior to the event is a good way to establish boundaries and to build rapport between both groups of professionals. If emergency medical transportation is not available on site during a particular sporting event then direct communication with the emergency medical system at the time of injury or illness is necessary. Access to a working telephone or other telecommunications device, whether fixed or mobile, should be assured. The communications system should be checked prior to each practice or competition to ensure proper working order. A back-up communication plan should be in effect should there be failure of the primary communication system. The most common method of communication is a public telephone. However, a cellular phone is preferred if available. At any athletic venue, whether home or away, it is important to know the location of a workable telephone. Pre-arranged access to the phone should be established if it is not easily accessible.

3. **Emergency Equipment.** All necessary emergency equipment should be at the site and quickly accessible. Personnel should be familiar with the function and operation of each type of emergency equipment. Equipment should be in good operating condition, and personnel must be trained in advance to use it properly. Emergency equipment should be checked on a regular basis and use rehearsed by emergency personnel. The emergency equipment available should be appropriate for the level of training for the emergency medical providers. It is important to know the proper way to care for and store the equipment as well. Equipment should be stored in a clean and environmentally controlled area. It should be readily available when emergency situations arise.

**Roles Within The Emergency Team.** The development of an emergency plan cannot be complete without the formation of an emergency team. The emergency team may consist of a number of health care providers including physicians, emergency medical technicians, certified athletic trainers; student athletic trainers; coaches; managers; and, possibly, bystanders. Roles of these individuals within the emergency team may vary depending on various factors such as the number of members of the team, the athletic venue itself, or the preference of the head athletic trainer. There are four basic roles within the emergency team.

1. **Immediate Care of the Athlete.** The first and most important role is immediate care of the athlete. The most qualified individual on the scene should provide acute care in an emergency situation. Individuals with lower credentials should yield to those with more appropriate training.

2. **Emergency Equipment Retrieval.** The second role, equipment retrieval, may be done by anyone on the emergency team who is familiar with the types and location of the specific equipment needed. Student athletic trainers, managers, and coaches are good choices for this role.

3. **Activation of the Emergency Medical System.** The third role, EMS activation, may be necessary in situations where emergency transportation is not already present at the sporting event. This should be done as soon as the situation is deemed an emergency or a life-threatening event. Time is the most critical factor under emergency conditions. Activating the EMS system may be done by anyone on the team. However, the person chosen for this duty should be someone who is calm under pressure and who communicates well over the telephone. This person should also be familiar with the location and address of the sporting event.

Making the call:
- 911 (if available)
- telephone numbers for local police, fire department, and ambulance service

Providing information:
- name, address, telephone number of caller
- number of athletes
- condition of athlete(s)
- first aid treatment initiated by first responder
- specific directions as needed to locate the emergency scene ("come to south entrance of coliseum")
- other information as requested by dispatcher

4. **Direction of EMS to Scene.** After EMS has been activated, the fourth role in the emergency team should be performed, that of directing EMS to the scene. One member of the team should be responsible for meeting emergency medical personnel as they arrive at the site of the contest. Depending on ease of access, this person should have keys to any locked gates or doors
that may slow the arrival of medical personnel. A student athletic trainer, manager, or coach may be appropriate for this role.

When forming the emergency team, it is important to adapt the team to each situation or sport. It may also be advantageous to have more than one individual assigned to each role. This allows the emergency team to function even though certain members may not always be present.

Transportation. Emphasis is placed at having an ambulance on site at high risk sporting events. EMS response time is additionally factored in when determining on site ambulance coverage. ___ coordinates on site ambulances for competition in ___. Ambulances may be coordinated on site for other special events/sports, such as major tournaments or Conference/NCAA regional or championship events. Consideration is given to the capabilities of transportation service available (i.e., Basic Life Support or Advanced Life Support) and the equipment and level of trained personnel on board the ambulance. In the event that an ambulance is on site, there should be a designated location with rapid access to the site and a cleared route for entering/exiting the venue.

In the emergency evaluation, the primary survey assists the emergency care provider in identifying emergencies requiring critical intervention and in determining transport decisions. In an emergency situation, the athlete should be transported by ambulance, where the necessary staff and equipment is available to deliver appropriate care. Emergency care providers should refrain from transporting unstable athletes in inappropriate vehicles. Care must be taken to ensure that the activity areas are supervised should the emergency care provider leave the site in transporting the athlete.

Conclusion. The importance of being properly prepared when athletic emergencies arise cannot be stressed enough. An athlete’s survival may hinge on how well trained and prepared athletic health care providers are. It is prudent to invest athletic department “ownership” in the emergency plan by involving the athletic administration and sport coaches as well as Sports Medicine personnel. The emergency plan should be reviewed at least once a year with all athletic personnel, along with CPR and first aid refresher training. Through development and implementation of the emergency plan, ___ helps ensure that the athlete will have the best care provided when an emergency situation does arise.
APPENDIX H. Protective Legal Documents

Notes: This appendix provides general legal information. Protective legal documents should not be adopted or used in any context without individualized legal advice. The information in this appendix has been adapted with permission from an article by JoAnn Eickhoff-Schemek, entitled “Distinguishing Protective Legal Documents”, published in the ACSM’s Journal of Health & Fitness.

Types Of Protective Legal Documents

Institutions such as universities/colleges and high schools often require athletes to read and sign some type of protective legal document(s) prior to participation in athletically related activities, including Strength & Conditioning. These documents can help protect the institution and its employees from potentially costly legal claims and lawsuits. The law involving protective legal documents is quite complex, however, and understanding their function and the specific legal protection they provide is often confusing.

Several types of protective legal documents exist. Three that are commonly used in the health/fitness field may be applicable in Strength & Conditioning settings: informed consent, agreement to participate, and waiver. Each provides protection from lawsuits arising from certain types of injuries that can occur while participating in activities, as explained below.

Causes Of Injury Associated With Physical Activity

Cotten & Cotten describe three causes of injury are associated with physical activity: inherent risks, negligence, and extreme forms of conduct.

Inherent Risks. As the term implies, these risks are inherent in the activity. Generally, injuries caused by inherent risks are accidental in nature, not preventable, and no one’s fault. The informed consent and agreement to participate documents provide the best legal protection for lawsuits arising from such injuries. Although actual sections and content of protective documents vary (and depend upon state law), the following are generally included in informed consent and agreement to participate documents:

<table>
<thead>
<tr>
<th>Informed Consent</th>
<th>Agreement To Participate</th>
</tr>
</thead>
<tbody>
<tr>
<td>* Purpose of the activity</td>
<td>* Nature of the activity</td>
</tr>
<tr>
<td>* Risks of the activity*</td>
<td>* Possible consequences of injury*</td>
</tr>
<tr>
<td>* Benefits of the activity</td>
<td>* Behavioral expectations of the participant</td>
</tr>
<tr>
<td>* Confidentiality</td>
<td>* Condition of the participant</td>
</tr>
<tr>
<td>* Inquiries</td>
<td>* Concluding statement</td>
</tr>
<tr>
<td>* Signatures</td>
<td>* Signatures</td>
</tr>
</tbody>
</table>

*Note: “assumption of risk” language

A section within each of these documents is devoted to informing the participant of the potential risks, including those inherent in the activity. It is important that this section carefully describes these risks (e.g., types of accidents that might occur and the consequences of these accidents), and that the language used is understandable to the person who will be signing it. This provides an “assumption of risk” defense, i.e. the participant knew and fully understood the risks, appreciated the risks, and voluntarily assumed them. In general, the law does not allow individuals to recover compensation for injuries resulting from assumed risks.

Negligence. Injuries can be caused by negligence, i.e. failure to act as reasonable and prudent professional would act under the circumstances. Participants can be injured by negligent acts of the Strength & Conditioning staff (e.g., failure to inspect/maintain exercise equipment, failure to provide CPR or First Aid when needed). A waiver document — also called a prospective release — provides the best legal protection for lawsuits arising from injuries caused by negligence. Once again, while the actual sections and content of such documents vary depending on state law, waiver documents generally include: exculpatory clause; description of risks (“assumption of risk” language); indemnification language (may not be valid); severability clause; affirmation of legal capacity; and signatures.

The “exculpatory clause” is a key section within the waiver explicitly stating that the participant releases the Strength & Conditioning facility for any liability associated with negligence by the facility or its employees. This clause, which must be written very carefully to be enforceable, provides evidence that the participant gave up (waived) his/her right to file a negligence
lawsuit against the facility. However, the exculpatory clause does not provide protection from lawsuits arising from injuries due to inherent risks; and an “assumption of risk” section is often added to the waiver for this purpose.

**Extreme Forms Of Conduct.** Injuries can also be caused by extreme forms of conduct (often referred to as gross negligence, willful and wanton conduct, or reckless conduct). For example, if the Strength & Conditioning staff had prior knowledge of an existing danger or risk but took no corrective action to help prevent resulting injuries, this failure to act would most likely constitute an extreme form of conduct. Generally, no documents can provide legal protection for grossly negligent or reckless conduct. A few states may allow the use of a waiver to protect from such conduct, but most do not.¹⁸

**Making Protective Legal Documents Enforceable**

Protective legal documents, signed by participants prior to their participation in Strength & Conditioning programs and services, can provide a good defense for the Strength & Conditioning facility after an injured participant files a claim or lawsuit. A variety of factors should be considered in order for these forms to be legally enforceable:¹⁸,¹⁹,³⁵

- A lawyer who is knowledgeable about the law regarding protective documents must review your protective legal documents to help ensure they are written properly and reflect the law in your state.

- *Informed consent* and *waiver* documents are contracts, and can only be signed by adults because minors cannot enter into a contract. *Agreement to participate* documents are not contracts, and therefore can be signed by adults as well as minors.

- The exculpatory clause used in a *waiver* is not allowed in an *informed consent* or *agreement to participate*. If an exculpatory clause is added to an agreement to participate for adults, it then becomes a waiver.

- The exculpatory clause used in a *waiver* is not enforceable in medical or research settings, or in certain states (Virginia, Montana, Louisiana) where they are against public policy. In educational settings such as a college/university, the general rule is that waivers are against public policy for required activities but may be enforceable for voluntary activities.

- *Informed consent* documents used in medical settings must be administered prior to a patient having any kind of medical procedure. If the informed consent is not written or administered properly, the health care provider (and medical facility) could be found negligent for not informing the patient of particular risks. This also applies in research settings, because subjects must be properly informed of risks through informed consent (note that this point is applicable in Strength & Conditioning settings where athletes participate as human subjects in research studies).

- All documents must be administered properly. For example, participants should have ample time to read them, and a well-trained employee should verbally explain the document to each participant.

- Protective documents must be stored in a secure place for the amount of time consistent with the statute of limitations, which may be up to 4 years in some states.

The choice of document or combination of documents to use is a very important decision. In situations where Strength & Conditioning activities are not covered in the employing institution’s legal documentation, Strength & Conditioning professionals should consult with a qualified lawyer to assist with these decisions and to review — or write — the documents prior to implementation. Because legal advice and consultation can be quite expensive, Strength & Conditioning professionals may reduce costs by “drafting” their own legal documents using information from applicable resources (e.g., refer to Cotten & Cotten¹⁸ for examples of *agreement to participate* and *waiver* documents; and Herbert & Herbert³⁵ for examples of *informed consent* documents). These resources should be shared with your lawyer when he/she reviews the drafts and makes the final document revisions.

Written protective documents provide important evidence when a lawsuit occurs. For example, if a Strength & Conditioning facility is sued for negligence, but has evidence that the injured party signed a properly written and administered waiver, this document provides the evidence needed to seek summary judgment (i.e. a pretrial motion in which the judge can dismiss the case because, as a matter of law, there is no issue to be tried in a court of law). In this situation, the legal document protects the facility from a potentially costly negligence lawsuit.
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